

## Harmonic mappings onto parallel slit domains

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**Abstract.** We consider typically real harmonic univalent functions in the unit disk  $\mathbb{D}$  whose range is the complex plane slit along infinite intervals on each of the lines  $x \pm ib$ ,  $b > 0$ . They are obtained via the shear construction of conformal mappings of  $\mathbb{D}$  onto the plane without two or four half-lines symmetric with respect to the real axis.

**1. Introduction.** Let  $S_H$  be the class of functions  $f$  that are univalent sense-preserving harmonic mappings of the unit disk  $\mathbb{D} = \{z : |z| < 1\}$  and satisfy  $f(0) = 0$  and  $f_z(0) > 0$ . Next let  $S_H^0$  be the subclass of  $S_H$  consisting of  $f$  with  $f_{\bar{z}}(0) = 0$ . Since harmonic mappings in  $S_H^0$  are not determined by their image domains, many authors have studied subclasses of  $S_H^0$  consisting of functions mapping  $\mathbb{D}$  onto a specific simply connected domain  $\Omega$ . In particular, in [6] Hengartner and Schober considered the case of  $\Omega$  being the horizontal strip  $\{w : |\operatorname{Im} w| < \pi/4\}$ . Later Dorff [2] considered the case of  $\Omega$  being an asymmetric vertical strip, and Livingston [7] considered the case of  $\Omega$  being the plane  $\mathbb{C}$  slit along the interval  $(-\infty, a]$ ,  $a < 0$ . Also Livingston [8], and Szpiał and Grigoryan [5] studied the case when  $\Omega$  is  $\mathbb{C} \setminus (-\infty, a] \cup [b, \infty)$ .

Here we consider the case when a simply connected domain  $\Omega$  is the plane slit along infinite intervals on each of the lines  $x \pm ib$  with some  $b > 0$ . Let  $S_H^R(\mathbb{D}, \Omega) \subset S_H^0$  be the class of harmonic typically real functions  $f$  mapping the disk  $\mathbb{D}$  onto  $\Omega$ . Since the domain  $\Omega$  is convex in the horizontal direction, as in the cases mentioned above, the shear construction introduced by Clunie and Sheil-Small can be applied. In our case the so-called conformal preshear  $Q$  is typically real and maps the disk onto the plane without two or four half-lines symmetric with respect to the real axis. In the next section we study the properties of the function  $Q$  and, in particular, we find the preimages of horizontal lines  $\operatorname{Im} Q = \alpha$ . We also define a family  $\mathcal{F}$  of harmonic

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mappings such that  $S_H^R(\mathbb{D}, \Omega) \subset \mathcal{F}$ . We discuss properties of functions from the family  $\mathcal{F}$  and present several examples of harmonic functions from  $\mathcal{F}$ .

**2. Conformal preshear.** We start with the following

LEMMA 2.1. For  $A, B > 0$  and  $c \in [-2, 2]$ , the function  $Q(z)$  defined by

$$(2.1) \quad Q(z) = A \log \frac{1+z}{1-z} + B \frac{z}{1+cz+z^2}$$

is a univalent map of  $\mathbb{D}$  onto a domain convex in the direction of the real axis.

*Proof.* We will show that  $iQ(z)$  maps  $\mathbb{D}$  onto a domain convex in the direction of the imaginary axis. By the result of Royster and Ziegler [9], it suffices to show that there are numbers  $\mu \in [0, 2\pi)$ ,  $\gamma \in [0, \pi]$ , such that

$$\operatorname{Re}\{e^{i\mu}(1 - 2 \cos \gamma e^{-i\mu} z + e^{-2i\mu} z^2)Q'(z)\} \geq 0, \quad z \in \mathbb{D}.$$

Choosing  $\mu = 0$  and  $\gamma \in [0, \pi]$  so that  $\cos \gamma = -c/2 \in [-1, 1]$  implies that the left-hand side of the last inequality is equal to

$$\begin{aligned} & \operatorname{Re}\left\{(1+cz+z^2)\left(2A\frac{1}{1-z^2} + B\frac{1-z^2}{(1+cz+z^2)^2}\right)\right\} \\ &= \left(\frac{2A}{|1-z^2|^2} + \frac{B}{|1+cz+z^2|^2}\right)(1-|z|^2)(1+|z|^2+c\operatorname{Re}(z)). \end{aligned}$$

So the result follows from the fact that  $c \in [-2, 2]$ . ■

We remark that in the case when  $A = \frac{1}{2} \sin^2 \alpha$ ,  $B = \cos^2 \alpha$ ,  $\alpha \in (0, \pi/2)$ , and  $c = -2$ , Lemma 2.1 was proved in [4] where the authors also studied classes of harmonic mappings obtained by shearing these functions.

A calculation shows that in the case of  $c = 2$  the image of the unit disk under  $Q$  is

$$\mathbb{C} \setminus \left\{x \pm \frac{A\pi}{2}i : x \in \left[-\frac{A}{2} \log \frac{2A}{B} + \frac{2A+B}{4}, \infty\right)\right\},$$

while for  $c = -2$  the image is

$$\mathbb{C} \setminus \left\{x \pm \frac{A\pi}{2}i : x \in \left(-\infty, \frac{A}{2} \log \frac{2A}{B} - \frac{2A+B}{4}\right]\right\}.$$

In the case when  $c \in (-2, 2)$  the function  $Q$  maps the unit disk onto the complex plane minus four horizontal half-lines. In particular, if  $c = 0$ , then the resulting image is the  $\mathbb{C}$  plane without the four symmetric half-lines

$$\left\{x \pm \frac{A\pi}{2}i : x \in \left(-\infty, -\frac{A}{2} \log \left(\frac{\sqrt{2A+B} + \sqrt{B}}{\sqrt{2A+B} - \sqrt{B}}\right) - \frac{\sqrt{B(2A+B)}}{2}\right]\right\}$$

and

$$\left\{ x \pm \frac{A\pi}{2}i : x \in \left[ \frac{A}{2} \log \left( \frac{\sqrt{2A+B} + \sqrt{B}}{\sqrt{2A+B} - \sqrt{B}} \right) + \frac{\sqrt{B(2A+B)}}{2}, \infty \right) \right\}.$$

Assume now that  $Q$  is given by (2.1) with  $c = -2 \cos \gamma$ ,  $\gamma \in (0, \pi)$ . Then, setting  $\eta = e^{i\gamma}$ , we have

$$(2.2) \quad Q(z) = A \log \frac{1+z}{1-z} + B \frac{z}{(1-\eta z)(1-\bar{\eta}z)}.$$

Our aim is now to study the preimages of the horizontal lines  $\text{Im } Q = \alpha > 0$ . Using the transformation  $\zeta = \zeta(z) = \frac{1+z}{1-z}$  we can write

$$Q(z) = A \log \zeta + B \frac{\zeta^2 - 1}{4 \sin^2 \frac{\gamma}{2} (\zeta + i \cot \frac{\gamma}{2})(\zeta - i \cot \frac{\gamma}{2})}.$$

We put  $\zeta = r e^{i\theta}$  and consider the level curve

$$\begin{aligned} \text{Im } Q &= A\theta + \frac{B}{4 \sin^4 \frac{\gamma}{2}} \frac{\sin 2\theta}{\left(r - \frac{\cot^2(\gamma/2)}{r}\right)^2 + 4 \cot^2 \frac{\gamma}{2} \cos^2 \theta} \\ &= A\theta + \frac{B}{4 \sin^4 \frac{\gamma}{2}} \frac{\sin 2\theta}{\left(r + \frac{\cot^2(\gamma/2)}{r}\right)^2 - 4 \cot^2 \frac{\gamma}{2} \sin^2 \theta} = \alpha, \end{aligned}$$

where

$$0 < \theta < \min\{\alpha/A, \pi/2\}.$$

So, the equations of these level curves in polar coordinates can be written in the form

$$\left(r - \frac{\cot^2 \frac{\gamma}{2}}{r}\right)^2 = \frac{B \sin 2\theta}{4(\alpha - A\theta) \sin^4 \frac{\gamma}{2}} - 4 \cot^2 \frac{\gamma}{2} \cos^2 \theta,$$

or

$$\left(r + \frac{\cot^2 \frac{\gamma}{2}}{r}\right)^2 = \frac{B \sin 2\theta}{4(\alpha - A\theta) \sin^4 \frac{\gamma}{2}} + 4 \cot^2 \frac{\gamma}{2} \sin^2 \theta.$$

Consequently,

$$(2.3) \quad \begin{aligned} r - \frac{\cot^2 \frac{\gamma}{2}}{r} &= \pm 2 \cot \frac{\gamma}{2} \cos \theta \sqrt{\frac{B \tan \theta}{2(\alpha - A\theta) \sin^2 \gamma} - 1} \\ &= \pm \cot \frac{\gamma}{2} \sqrt{\frac{B \sin 2\theta}{(\alpha - A\theta) \sin^2 \gamma} - 4 \cos^2 \theta}, \end{aligned}$$

and

$$(2.4) \quad r + \frac{\cot^2 \frac{\gamma}{2}}{r} = 2 \cot \frac{\gamma}{2} \sin \theta \sqrt{\frac{B \cot \theta}{2(\alpha - A\theta) \sin^2 \gamma} + 1}$$

$$= \cot \frac{\gamma}{2} \sqrt{\frac{B \sin 2\theta}{(\alpha - A\theta) \sin^2 \gamma} + 4 \sin^2 \theta}.$$

We assume first that  $\alpha > \pi A/2$  and show that preimage of  $\text{Im } Q = \alpha$  in the  $z$ -plane is a Jordan curve passing through the point  $\eta$  and except for this point lying in the upper half of  $\mathbb{D}$ . It follows from (2.3) that  $\theta \in (\theta_0, \pi/2)$ , where  $\theta_0$  satisfies the equation

$$\frac{B \tan \theta}{2(\alpha - A\theta) \sin^2 \gamma} = 1.$$

It follows from (2.3) and (2.4) that

$$(2.5) \quad r = \frac{1}{2} \cot \frac{\gamma}{2} \left( \sqrt{\frac{B \sin 2\theta}{(\alpha - A\theta) \sin^2 \gamma} + 4 \sin^2 \theta} \right. \\ \left. \pm \sqrt{\frac{B \sin 2\theta}{(\alpha - A\theta) \sin^2 \gamma} - 4 \cos^2 \theta} \right),$$

where  $\theta \in (\theta_0, \pi/2)$ . On the other hand,

$$(2.6) \quad \text{Re } Q = A \log r \\ + \frac{B}{4 \sin^2 \frac{\gamma}{2}} \frac{\left(r - \frac{\cot(\gamma/2)}{r}\right) \left(r + \frac{\cot(\gamma/2)}{r}\right) + \cos 2\theta \left(\cot^2 \frac{\gamma}{2} - 1\right)}{\left(r - \frac{\cot^2(\gamma/2)}{r}\right)^2 + 4 \cot^2 \frac{\gamma}{2} \cos^2 \theta}.$$

It follows from the above that the first term in (2.6) is bounded and a calculation gives that the second term is equal to

$$(2.7) \quad \frac{1}{2 \sin^2 \gamma} \left( B \cos \gamma \right. \\ \left. \pm \sqrt{B + 2(\alpha - A\theta) \sin^2 \gamma \tan \theta} \sqrt{B - 2(\alpha - A\theta) \sin^2 \gamma \cot \theta} \right).$$

This shows that  $\text{Re } Q$  tends to  $\pm\infty$  if  $\theta$  tends to  $\pi/2$ , which means that the preimage of the level curve  $\text{Im } Q = \alpha$  in the  $\zeta$ -plane is a Jordan curve passing through the point  $i \cot(\gamma/2)$  lying in the first quadrant except for this point and our claim is proved.

Assume now that  $0 < \alpha < A\pi/2$ . Then the preimage of the level curve  $\text{Im } Q = \alpha$  in the  $\zeta$ -plane in polar coordinates is also given by (2.5), where  $\theta \in (\theta_0, \alpha/A)$ . This implies that if  $\theta$  tends to  $\alpha/A$ , then  $r$  tends to either 0 or  $\infty$ . Moreover, by (2.7) the second term in the sum on the right-hand side of equation (2.6) is bounded for  $\theta \in (\theta_0, \alpha/A)$ . This means that the preimage

of the level curve  $\text{Im } Q = \alpha$  in the  $\zeta$ -plane is a regular line going from zero to infinity which corresponds to a curve connecting 1 and  $-1$  in the upper half of  $\mathbb{D}$  in the  $z$ -plane.

Finally we note that the preimage of an interval lying on the line  $\text{Im } w = A\pi/2$  is a curve joining two boundary points of  $\mathbb{D}$  where the derivative of  $Q$  vanishes.

We have already mentioned that in the case when  $c = 2, -2$ , the function  $Q$  maps the unit disk onto the plane slit along two parallel horizontal half-lines. In the manner used above but with less tedious calculations one can show that in these cases preimages of the horizontal lines  $\text{Im } Q = \alpha$  are curves connecting 1 and  $-1$  for  $0 < \alpha < A\pi/2$  and Jordan curves passing through  $-1$  (resp. 1) for  $\alpha > A\pi/2$ .

**3. The class  $S_H^R(\mathbb{D}, \Omega)$ .** Let  $\Omega$  and  $S_H^R(\mathbb{D}, \Omega)$  be as in the Introduction and assume that  $f \in S_H^R(\mathbb{D}, \Omega)$ . Next, let  $F$  and  $G$  be functions analytic in  $\mathbb{D}$  satisfying

$$F(0) = G(0) = 0, \quad \text{Re } f(z) = \text{Re } F(z), \quad \text{Im } f(z) = \text{Im } iG(z).$$

If

$$h = (F + iG)/2 \quad \text{and} \quad g = (F - iG)/2$$

then

$$f = h + \bar{g} \quad \text{and} \quad |g'(z)| < |h'(z)|.$$

Moreover, the function  $h - g = iG$  is univalent, convex in the horizontal direction, and  $G(\mathbb{D})$  is  $\mathbb{C}$  slit along one or two infinite rays on the vertical lines  $x = \pm b$ . We also note that  $f$  is typically real if and only if  $iG = h - g$  is typically real. So the image of  $\mathbb{D}$  under  $iG$  is symmetric with respect to the real axis.

It follows from the above that

$$iG(z) = Q(z) = A \log \frac{1+z}{1-z} + B \frac{z}{1+cz+z^2},$$

where  $A, B > 0, c \in [-2, 2]$ . We also note that  $A = 2b/\pi$ .

Consequently,

$$F(z) = h(z) + g(z) = \int_0^z \frac{h'(\zeta) + g'(\zeta)}{h'(\zeta) - g'(\zeta)} (h'(\zeta) - g'(\zeta)) d\zeta = \int_0^z iG'(\zeta)P(\zeta) d\zeta,$$

where  $P$  is in the class  $\mathcal{P}$  of functions analytic in  $\mathbb{D}$  with  $P(0) = 1$  and  $\text{Re } P(z) > 0$  for  $z \in \mathbb{D}$ .

Thus

$$f(z) = \operatorname{Re} \left\{ \int_0^z \left( \frac{2A}{1-\zeta^2} + B \frac{1-\zeta^2}{(1+c\zeta+\zeta^2)^2} \right) P(\zeta) d\zeta \right\} + i \operatorname{Im} \left\{ A \log \frac{1+z}{1-z} + B \frac{z}{1+cz+z^2} \right\}.$$

Using the function

$$Q_{A,B,c}(z) = A \log \frac{1+z}{1-z} + B \frac{z}{1+cz+z^2}$$

the last formula can be written in the form

$$(3.1) \quad f(z) = \operatorname{Re} \int_0^z Q'_{A,B,c}(\zeta) P(\zeta) d\zeta + i \operatorname{Im} Q_{A,B,c}(z).$$

Now we define the family

$$\mathcal{F} = \left\{ f : f(z) = \operatorname{Re} \int_0^z Q'_{A,B,c}(\zeta) P(\zeta) d\zeta + i \operatorname{Im} Q_{A,B,c}(z), \right. \\ \left. A, B > 0, c \in [-2, 2], P \in \mathcal{P} \right\}.$$

So, we have

**THEOREM 3.1.**  $S_H^R(\mathbb{D}, \Omega) \subset \mathcal{F}$ .

The next theorem gives one of the properties of the family  $\mathcal{F}$  that can be proved using the method applied by Hengartner and Schober [6] and Grigorian and Szapiel [5] and others. We include its proof for the reader's convenience.

**THEOREM 3.2.** *For each  $f \in \mathcal{F}$ , every horizontal line has a non-empty connected intersection with the image  $f(\mathbb{D})$ .*

*Proof.* Let  $f \in \mathcal{F}$ ,  $f = h + \bar{g} = \operatorname{Re}(h + g) + i \operatorname{Im}(h - g)$ . Let  $\Omega = Q(\mathbb{D})$ . We consider the images of horizontal lines contained in  $\Omega$  under the function  $f \circ Q^{-1}$ . We observe that in the case when  $\alpha \neq \pm b$  the entire line  $\{w = t + i\alpha : t \in \mathbb{R}\}$  is contained in  $\Omega$  while  $\{w = t \pm ib : t \in \mathbb{R}\} \cap Q(\mathbb{D})$  are finite or infinite intervals. Note first that

$$\operatorname{Im}[f(Q^{-1}(t + i\alpha))] = \operatorname{Im}[Q(Q^{-1}(t + i\alpha))] = \alpha,$$

so the function  $f \circ Q^{-1}$  maps horizontal lines into themselves. Moreover,

$$\begin{aligned} \frac{\partial}{\partial t}[f(Q^{-1}(t + i\alpha))] &= \frac{\partial}{\partial t}[\operatorname{Re}(f(Q^{-1}(t + i\alpha)))] \\ &= \operatorname{Re}(Q'(Q^{-1}(t + i\alpha))P(Q^{-1}(t + i\alpha))(Q^{-1}(t + i\alpha))') \\ &= \operatorname{Re}(P(Q^{-1}(t + i\alpha))) > 0. \end{aligned}$$

Thus the functions  $t \mapsto \operatorname{Re}(f \circ Q^{-1}(t + i\alpha))$  are strictly increasing for each  $\alpha \in \mathbb{R}$ . Therefore every horizontal line has a non-empty intersection with  $f(\mathbb{D})$ . ■

In the next theorem we give some sufficient conditions for the containment of the entire horizontal lines  $\operatorname{Im} z = \alpha$  ( $\alpha \neq \pm b$ ) in  $f(\mathbb{D})$ .

**THEOREM 3.3.** *Assume that  $Q$  is given by (2.2) with  $\eta = e^{i\gamma}$  and  $f$  is defined by (3.1). Let  $\gamma \in [0, \pi]$ . If the function  $P$  in (3.1) is analytic at  $\eta$  and  $\operatorname{Re} P(\eta) > 0$ , then the half-plane  $\{w : \operatorname{Im} w > b\}$  is contained in  $f(\mathbb{D})$ . If the function  $P$  is analytic at  $\bar{\eta}$  and  $\operatorname{Re} P(\bar{\eta}) > 0$ , then the half-plane  $\{w : \operatorname{Im} w < -b\}$  is contained in  $f(\mathbb{D})$ . Finally, if the function  $P$  is analytic at 1 and  $-1$ ,  $\operatorname{Re} P(1) > 0$  and  $\operatorname{Re} P(-1) > 0$ , then the horizontal strip  $\{w : |\operatorname{Im} w| < b\}$  is contained in  $f(\mathbb{D})$ .*

*Proof.* Assume  $P$  is analytic at  $\eta$  and  $\operatorname{Re} P(\eta) > 0$ . Consider the function

$$(3.2) \quad F(z) = \int_0^z Q'(\zeta)P(\zeta) d\zeta,$$

where  $Q$  is given by (2.2). Then in a neighborhood of  $\eta$ , when  $\eta \neq \pm 1$ ,

$$F'(z) = P(\eta)Q'(z) + \left( P'(\eta)(z - \eta) + \frac{P''(\eta)}{2}(z - \eta)^2 + \dots \right) \times \left( \frac{-B\eta}{(\eta - \bar{\eta})(z - \eta)^2} + \frac{a_{-1}}{z - \eta} + a_0 + \dots \right),$$

and when  $\eta^2 = 1$ ,

$$F'(z) = P(\eta)Q'(z) + \left( P'(\eta)(z - \eta) + \frac{P''(\eta)}{2}(z - \eta)^2 + \dots \right) \times \left( \frac{-2B\eta}{(z - \eta)^3} - \frac{B}{(z - \eta)^2} + \frac{a_{-1}}{z - \eta} + a_0 + \dots \right).$$

Thus the function  $w_\eta$  defined by

$$w_\eta(z) = \begin{cases} F(z) - P(\eta)Q(z) + \frac{B\eta P'(\eta)}{\eta - \bar{\eta}} \log(1 - \bar{\eta}z) & \text{if } \eta^2 \neq 1, \\ F(z) - P(\eta)Q(z) - B(P'(\eta) + \eta P''(\eta)) \log \frac{1}{1 - \eta z} + \frac{2BP'(\eta)}{1 - \eta z} & \text{if } \eta^2 = 1, \end{cases}$$

is analytic at  $\eta$ . Consequently, in the case  $\eta^2 \neq 1$ ,

$$\begin{aligned} F(z) &= F(z) - w_\eta(z) + w_\eta(z) \\ &= Q(z) \left( P(\eta) - \frac{B\eta P'(\eta)(1 - \eta z)(1 - \bar{\eta}z) \log(1 - \bar{\eta}z)}{(\eta - \bar{\eta})(A(1 - \bar{\eta}z)(1 - \eta z) \log \frac{1+z}{1-z} + Bz)} \right) + w_\eta(z), \end{aligned}$$

and in the case  $\eta^2 = 1$ ,

$$F(z) = Q(z) \left( P(\eta) + \frac{B((P'(\eta) + \eta P''(\eta)) \log \frac{1}{1-\eta z} - \frac{2P'(\eta)}{1-\eta z})(1 - \eta z)^2)}{A(1 - \eta z)^2 \log \frac{1+z}{1-z} + Bz} \right) + w_\eta(z).$$

Therefore,

$$F(z) = Q(z)(P(\eta) + o(1)) + w_\eta(z) \quad \text{as } z \rightarrow \eta.$$

It follows from the work in Section 2 that the preimages  $\Gamma_\alpha$  of the lines

$$\text{Im } f(z) = \text{Im } Q(z) = \alpha > b \quad \text{or} \quad \text{Im } f(z) = \text{Im } Q(z) = \alpha < -b$$

are curves in  $\mathbb{D}$  that approach  $\eta$  or  $\bar{\eta}$ , respectively. Since

$$\text{Re } f(z) = \text{Re } F(z),$$

we see that  $\text{Re } f(z)$  converges to  $\pm\infty$  as  $z$  approaches  $\eta$  or  $\bar{\eta}$  along  $\Gamma_\alpha$ .

Assume now that  $\eta = e^{i\gamma}$  with  $\gamma \in (0, \pi)$ . If the function  $P$  is analytic at 1 and  $-1$ ,  $\text{Re } P(1) > 0$ , and  $\text{Re } P(-1) > 0$ , then  $w_1(z) = F(z) - P(1)Q(z)$  is analytic at 1 and  $w_{-1}(z) = F(z) - P(-1)Q(z)$  is analytic at  $-1$ . This means that  $\text{Re } f(z) = \text{Re } F(z)$  behaves as  $\text{Re } Q(z)$  near 1 and  $-1$ . Moreover, we know from Section 2 that preimages of the lines

$$\text{Im } f(z) = \text{Im } Q(z) = \alpha, \quad \text{where } |\alpha| < b,$$

are curves in  $\mathbb{D}$  connecting 1 and  $-1$ . So, our claim follows. The same conclusion can be drawn for the cases when  $\eta = 1$  and  $\eta = -1$ . ■

**COROLLARY 3.4.** *If  $f \in \mathcal{F}$  has dilatation  $\omega(z) = g'(z)/h'(z)$  such that  $|\omega(z)| \leq C < 1$  for  $z \in \mathbb{D}$ , then the complement of  $f(\mathbb{D})$  consists of infinite intervals lying on two parallel lines  $z = \pm ib$ .*

For fixed  $A, B > 0, c \in [-2, 2]$  let  $\mathcal{F}(A, B, c)$  denote the subset of  $\mathcal{F}$  with  $Q = Q_{A,B,c}$ . As we noted before, the class  $\mathcal{F}(A, B, c)$  contains the harmonic univalent maps of the disk  $\mathbb{D}$  onto the plane slit along the horizontal lines  $z = \pm ib$ , where  $b = \pi A/2$ . Now for fixed  $b > 0$  (or equivalently  $A > 0$ ) let

$$\mathcal{F}(b) = \bigcup_{B>0, -2 \leq c \leq 2} \mathcal{F}(A, B, c)$$

and let  $S_H^R(b)$  denote the class of typically real univalent harmonic mappings of the disk  $\mathbb{D}$  onto the plane slit along the horizontal lines  $z = \pm ib$ . We have the following.

**COROLLARY 3.5.** *For  $b > 0$ ,*

$$\overline{S_H^R(b)} = \mathcal{F}(b).$$



*Proof.* Let  $f \in \mathcal{F}(b)$  be given by (3.1) with some  $P \in \mathcal{P}$ . For an integer  $n > 2$  define  $P_n(z) = P((1 - 1/n)z)$  and set

$$f_n(z) = \operatorname{Re} \int_0^z Q'(\zeta) P_n(\zeta) d\zeta + i \operatorname{Im} Q(z).$$

By Theorem 3.3,  $f_n \in S_{\mathbb{H}}^R(b)$  and the sequence  $\{f_n\}$  converges locally uniformly on  $\mathbb{D}$  to  $f$ . ■

The next theorem describes situations when functions  $f$  from the family  $\mathcal{F}$  have the property that the intersections of horizontal lines with  $f(\mathbb{D})$  are finite intervals.

**THEOREM 3.6.** *Assume that  $Q$  is given by (2.2) with  $\eta = e^{i\gamma}$ ,  $\gamma \in (0, \pi)$ , and  $f$  is defined by (3.1). If the function  $P$  in (3.1) is analytic at  $\eta$  ( $\bar{\eta}$ ) and  $P(\eta) = 0$  ( $P(\bar{\eta}) = 0$ ), then the intersection of every horizontal line  $\operatorname{Im} w = \alpha$ ,  $\alpha > b$  ( $\alpha < -b$ ), with  $f(\mathbb{D})$  is a finite interval. Moreover, if the function  $P$  is analytic at 1 and  $-1$ , and  $P(1) = P(-1) = 0$ , then the intersection of a horizontal line  $\operatorname{Im} w = \alpha$  ( $|\alpha| < b$ ) with  $f(\mathbb{D})$  is a finite interval.*

*Proof.* Assume that  $P$  is analytic at  $\eta$ ,  $P(\eta) = 0$  and  $F$  is given by (3.2). Then in a neighborhood of  $\eta$ ,

$$F'(z) = -\frac{B\eta P'(\eta)}{(\eta - \bar{\eta})(z - \eta)} + w_\eta(z),$$

where  $w_\eta$  is analytic at  $\eta$ . Consequently,

$$F(z) = \frac{B\eta P'(\eta)}{\eta - \bar{\eta}} \log \frac{1}{1 - \bar{\eta}z} + W_\eta(z),$$

with  $W_\eta$  analytic at  $\eta$ . It has been noted in [5, pp. 66–67] that  $\eta P'(\eta) < 0$ . Hence in a neighborhood of  $\eta$ ,

$$\operatorname{Re} f(z) = \operatorname{Re} F(z) = \operatorname{Im} \left( \frac{B\eta P'(\eta)}{2 \sin \gamma} \log \frac{1}{1 - \bar{\eta}z} \right) + \operatorname{Re} W_\eta(z).$$

Now our claim follows from the properties of the set  $\{z \in \mathbb{D} : \operatorname{Im} f(z) = \alpha\}$  for  $\alpha > b$ . The other statement can be proved by observing that if  $P$  is analytic at 1 and  $-1$ , and  $P(1) = P(-1) = 0$ , then  $F$  is analytic at 1 and  $-1$ . ■

We note that the assertion of Theorem 3.6 does not hold in the case  $\eta = \pm 1$ . In particular, if  $\eta = 1$ ,  $P$  is analytic at 1 and  $P(1) = 0$ , then the intersection of every horizontal line  $\operatorname{Im} w = \alpha$  ( $\alpha > b$ ) with  $f(\mathbb{D})$  is either this line or a half-line  $\{w : w = x + i\alpha, x > x_\alpha\}$  with some real  $x_\alpha$ . Indeed, if

$$Q(z) = A \log \frac{1+z}{1-z} + B \frac{z}{(1-z)^2}$$

and  $F$  is defined by (3.2), then

$$F(z) = \frac{2BP'(1)}{(z-1)} + B(P'(1) + P''(1)) \log \frac{1}{z-1} + w(z),$$

where  $w$  is analytic at 1. Hence

$$\operatorname{Re} F(z) = 2BP'(1) \operatorname{Re} \frac{1}{z-1} + B(P'(1) + \operatorname{Re} P''(1)) \log \frac{1}{|z-1|} + O(1)$$

as  $\mathbb{D} \ni z \rightarrow 1$ . Using the transformation  $\zeta = \zeta(z) = \frac{1+z}{1-z}$  we can write

$$\operatorname{Re} F(\zeta) = -BP'(1) \operatorname{Re} \zeta + B(P'(1) + \operatorname{Re} P''(1)) \log |\zeta + 1| + O(1) \text{ as } \zeta \rightarrow \infty.$$

A calculation shows that the preimage of the level curve  $\operatorname{Im} f = \operatorname{Im} Q = \alpha > b$  in the  $\zeta$ -plane can be written in the form

$$(3.3) \quad r = 2\sqrt{\frac{\alpha - A\theta}{B \sin 2\theta}},$$

where  $\zeta = re^{i\theta}$ ,  $\theta \in (0, \pi/2)$ . It has been proved in [5] that  $P'(1) + \operatorname{Re} P''(1) \leq 0$ . We now show that if we assume additionally that  $P'(1) + \operatorname{Re} P''(1) = 0$ , then  $f(\mathbb{D})$  contains the half-lines described above. Indeed, on the curve given by (3.3) we have

$$\operatorname{Re} F(\zeta) = -BP'(1) \cdot 2\sqrt{\frac{\alpha - A\theta}{B \sin 2\theta}} \cos \theta + O(1)$$

and our claim follows from the fact that

$$\lim_{\theta \rightarrow 0^+} 2\sqrt{\frac{\alpha - A\theta}{B \sin 2\theta}} \cos \theta = +\infty \quad \text{and} \quad \lim_{\theta \rightarrow \pi/2^-} 2\sqrt{\frac{\alpha - A\theta}{B \sin 2\theta}} \cos \theta = 0.$$

Similar analysis can be used to show that if  $P'(1) + \operatorname{Re} P''(1) < 0$ , then  $f(\mathbb{D})$  contains the whole horizontal lines  $\operatorname{Im} w = \alpha > b$ .

**4. Examples.** In this section we give examples of harmonic functions from the family  $\mathcal{F}$ . Our first example is a harmonic map of the unit disk onto the complex plane slit along four horizontal half-lines that are symmetric with respect to the real axis.

EXAMPLE 4.1. Let  $Q_1 = Q_{1/4,1/2,0}$  and take  $P(z) = \frac{1+z^4}{1-z^4}$ . Then we obtain

$$\begin{aligned} f_1(z) &= \operatorname{Re} F_1(z) + i \operatorname{Im} Q_1(z) \\ &= \operatorname{Re} \left( -\frac{5i}{16} \log \left( \frac{1+iz}{1-iz} \right) + \frac{1}{4} \frac{z}{1-z^2} - \frac{1}{8} \frac{z}{1+z^2} + \frac{1}{4} \frac{z}{(1+z^2)^2} \right) \\ &\quad + i \operatorname{Im} \left( \frac{1}{4} \log \left( \frac{1+z}{1-z} \right) + \frac{1}{2} \frac{z}{1+z^2} \right). \end{aligned}$$

We will show that the function  $f_1$  maps the unit disk onto the plane minus four parallel slits given by  $\{x \pm i\pi/8 : |x| \geq 5\pi/32\}$ . We will use a similar

argument to that applied by Clunie and Sheil-Small [1] for the so-called harmonic Koebe function. Using the transformation  $\zeta = \zeta(z) = \frac{1+z}{1-z} = \xi + i\eta$ ,  $\xi > 0$ , we get

$$f_1(z) = \operatorname{Re} \left( -\frac{5i}{16} \log \left( \frac{\zeta - i}{1 - i\zeta} \right) + \frac{1}{16} \left( \zeta - \frac{1}{\zeta} \right) + \frac{1}{8} \frac{(\zeta^2 - 1)\zeta}{(\zeta^2 + 1)^2} \right) + i \operatorname{Im} \left( \frac{1}{4} \log \zeta + \frac{1}{4} \frac{\zeta^2 - 1}{\zeta^2 + 1} \right).$$

We observe that the transformation  $z \mapsto \zeta(z)$  maps the part of the disk in the first quadrant onto the exterior of the unit disk contained in the first quadrant, and we note that the interval  $[0, i]$  is mapped onto the quarter of the unit circle. If we put  $\zeta = re^{i\theta}$ ,  $r \geq 1$ ,  $\theta \in [0, \pi/2)$ , then we have

$$\begin{aligned} \operatorname{Re} f_1(z) &= \frac{1}{4} \left( \frac{5}{4} \arctan \frac{r - 1/r}{2 \cos \theta} + \frac{1}{4} \left( r - \frac{1}{r} \right) \cos \theta \right. \\ &\quad \left. + \frac{1}{2} \left( r - \frac{1}{r} \right) \cos \theta \frac{(r - 1/r)^2 + 4(\sin^2 \theta + 1)}{((r - 1/r)^2 + 4 \cos^2 \theta)^2} \right), \\ \operatorname{Im} f_1(z) &= \frac{1}{4} \left( \theta + \frac{2 \sin 2\theta}{(r - 1/r)^2 + 4 \cos^2 \theta} \right). \end{aligned}$$

Now we consider the level curves

$$(4.1) \quad \theta + \frac{2 \sin 2\theta}{(r - 1/r)^2 + 4 \cos^2 \theta} = c, \quad c > 0.$$

Since  $r > 1$  and  $\theta \in (0, \pi/2)$ , we get

$$(4.2) \quad r - \frac{1}{r} = 2 \cos \theta \sqrt{\frac{\tan \theta}{c - \theta} - 1}.$$

Let  $\theta_c \in (0, \pi/2)$  be the number satisfying the equation  $\tan \theta_c = c - \theta_c$ . If  $0 < c < \pi/2$ , we assume that  $\theta_c < \theta < c$ , while if  $c \geq \pi/2$ , we assume that  $\theta_c < \theta < \pi/2$ . Fix  $c > 0$ . Then the image of the level curve given in (4.1) under  $f_1$  is

$$\begin{aligned} f_1(z) &= \frac{1}{8} \left( \frac{5}{2} \arctan \left( \frac{\tan \theta}{c - \theta} - 1 \right)^{1/2} + \cos^2 \theta \left( \frac{\tan \theta}{c - \theta} - 1 \right)^{1/2} \right. \\ &\quad \left. + \frac{1}{2} \left( \frac{c - \theta}{\tan \theta} \right)^2 \left( \frac{\tan \theta}{c - \theta} - 1 \right)^{3/2} \right. \\ &\quad \left. + \frac{1}{2} (c - \theta)^2 \left( 1 + \frac{1}{\sin^2 \theta} \right) \left( \frac{\tan \theta}{c - \theta} - 1 \right)^{1/2} \right) + i \frac{c}{4} \\ &= u(c, \theta) + i \frac{c}{4}. \end{aligned}$$

If  $0 < c < \pi/2$ , then  $\theta \in (\theta_c, c)$  and we find that

$$\lim_{\theta \rightarrow \theta_c^+} u(c, \theta) = 0 \quad \text{and} \quad \lim_{\theta \rightarrow c^-} u(c, \theta) = \infty.$$

Similarly, if  $c > \pi/2$ , then  $\theta \in (\theta_c, \pi/2)$  and we have

$$\lim_{\theta \rightarrow \theta_c^+} u(c, \theta) = 0 \quad \text{and} \quad \lim_{\theta \rightarrow \pi/2^-} u(c, \theta) = \infty.$$

Finally, if  $c = \pi/2$ , then  $\theta \in (\theta_c, \pi/2)$  and we have

$$\lim_{\theta \rightarrow \theta_c^+} u(c, \theta) = 0 \quad \text{and} \quad \lim_{\theta \rightarrow \pi/2^-} u(c, \theta) = \frac{5\pi}{32}.$$

This means that the image under  $f_1$  of the part of the disk in the first quadrant is the first quadrant minus the half-line  $\{x + i\pi/8 : x \geq 5\pi/32\}$ . Our claim follows from the symmetry.

In the next example we present a map onto the plane slit along two horizontal half-lines symmetric with respect to the real axis.

EXAMPLE 4.2. Let  $f_2$  be the harmonic shear of  $Q_2 = Q_{1/8, 6/8, -2}$  with  $P(z) = (1 + z^2)/(1 - z^2)$ . One can show that

$$\begin{aligned} f_2(z) &= \operatorname{Re} F_2(z) + i \operatorname{Im} Q_2(z) \\ &= \operatorname{Re} \left( \frac{1}{2} \frac{z(2 - z + z^3)}{(1 - z)^3(1 + z)} \right) + i \operatorname{Im} \left( \frac{1}{8} \log \left( \frac{1 + z}{1 - z} \right) + \frac{6}{8} \frac{z}{(1 - z)^2} \right). \end{aligned}$$

It was shown in [3] that  $f_2$  maps the disk onto the plane minus two half-lines given by  $x \pm i\pi/16$ ,  $x \leq -1/4$ .

The following two examples illustrate Theorem 3.6.

EXAMPLE 4.3. Taking  $Q_3 = Q_{1/4, 1/2, 0}$  and  $P(z) = (1 - z^2)/(1 + z^2)$  we obtain

$$\begin{aligned} f_3(z) &= \operatorname{Re} \left( -\frac{3i}{8} \log \left( \frac{1 + iz}{1 - iz} \right) - \frac{1}{4} \frac{z}{1 + z^2} + \frac{1}{2} \frac{z}{(1 + z^2)^2} \right) \\ &\quad + i \operatorname{Im} \left( \frac{1}{4} \log \left( \frac{1 + z}{1 - z} \right) + \frac{1}{2} \frac{z}{1 + z^2} \right). \end{aligned}$$

EXAMPLE 4.4. Let  $f_4$  be the shear of  $Q_4 = Q_{1/4, 1/2, 0}$  with  $P(z) = (1 - z^4)/(1 + z^4)$ . Then

$$f_4(z) = \operatorname{Re} \left( -\frac{i}{2} \log \left( \frac{1 + iz}{1 - iz} \right) \right) + i \operatorname{Im} \left( \frac{1}{4} \log \left( \frac{1 + z}{1 - z} \right) + \frac{1}{2} \frac{z}{1 + z^2} \right).$$

Images of concentric circles inside  $\mathbb{D}$  under  $f_3$  and  $f_4$  are shown in the figures below.

Our final example is a harmonic map onto the right-half plane. This map is connected with the note after Theorem 3.6.

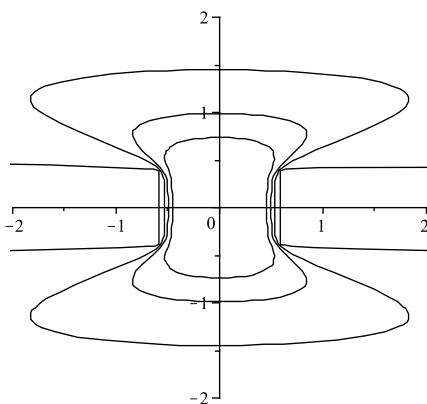


Fig. 1. Images of concentric circles inside  $\mathbb{D}$  under  $f_3$ .

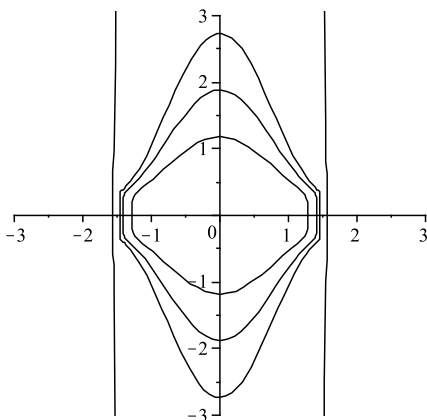


Fig. 2. Images of concentric circles inside  $\mathbb{D}$  under  $f_4$ .

EXAMPLE 4.5. Let  $Q_5 = Q_{1/4, 1/2, -2}$  and take  $P(z) = (1 - z^2)/(1 + z^2)$ . Then

$$f_5(z) = \operatorname{Re} \left( \frac{z}{1 - z} \right) + i \operatorname{Im} \left( \frac{1}{4} \log \frac{1 + z}{1 - z} + \frac{1}{2} \frac{z}{(1 - z)^2} \right)$$

is the harmonic map of the disk onto the half-plane  $\operatorname{Re} w > -1/2$ .

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